

What Does Strategic Financial Group, LLC (SFG) Do With Your Personal Information?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

The types of personal information SFG collects and shares depends on the services that it provides to you. This information can include, but is not limited to:

- your social security number and income;
- your address and date of birth; and
- your account balances and transactions.

Financial companies typically need to share the personal information of their customers, internally, with their employees, with their affiliates and with the employees of their affiliates, and, externally, with their independent contractor service providers or suppliers, including but not limited to insurance companies and custodians, in order to run their everyday business – to process transactions, maintain or service customer accounts, and report to credit bureaus. The table below lists the reasons many financial companies, including SFG, are permitted to share their customers’ personal information, the reasons SFG chooses to share your personal information, and whether you can limit this sharing.

Reasons SFG is permitted to share your personal information	Does SFG share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, open or maintain your accounts, respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	No	N/A – we don’t share

Sharing Practices:

How often does SFG notify me about their practices? SFG must notify you about its sharing practices when you engage its services and each year while you are a customer.

How does SFG protect my personal information? To protect your personal information from unauthorized access and use, SFG uses security measures that comply with federal law. These measures include computer safeguards and secured files and offices.

How does SFG collect my personal information? SFG collects certain personal information from you, for example, when you open an account.

Why can’t I limit all sharing? Federal law gives you the right to limit sharing only for:

- affiliates’ everyday business purposes – information about your creditworthiness;
- affiliates from using your information to market to you; and
- non-affiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

How Does SFG Treat My Personal Information Once I Am No Longer A Client?

SFG will provide nonpublic information about former customers only if required to do so by law or regulation, or in connection with continuing obligations of the former customers or the enforcement thereof (including the obligation of the former customers to pay to SFG or any of its affiliates any outstanding investment advisory fees, charges or costs), or to those parties who need such information in order for SFG to carry out any continued obligation with respect to the services covered by the former adviser/customer relationship.

Definitions:

Everyday business purposes - The actions necessary for financial companies to run their businesses and manage customer accounts, such as processing transactions, mailing, and auditing services; providing information to credit bureaus; providing information in compliance with court orders; and/or providing information in connection with investigations by governmental bodies or self-regulatory organizations.

Affiliates - Companies related by common ownership or control. They can be financial and non-financial companies.

Non-affiliates - Companies not related by common ownership or control. They can be financial and non-financial companies.

Joint Marketing - A formal agreement between non-affiliated financial companies that together market financial products or services to you.

How Can I Limit the Sharing of My Personal Information in those Limited Instances When I am Entitled to do so?

Contact us with questions or you can also return the Opt Out Reply Form below to:

Susan R. Kuczera, CPA, CFP®, ChFC, Chief Compliance Officer
Strategic Financial Group, LLC
101 E. 90th Drive
Merrillville, IN 46410

Toll-Free: (888) 363-7147 ext. 303
Fax: (219) 736-8903
Email: srkuczera@sfgweb.com

If you have previously returned the Opt Out Form, you may, but do not need to, return it again.



Opt Out Reply Form	
Name	
Spouse Name	
Address	
City, State, ZIP	
Phone	
I/We would like our non-public personal information to NOT be shared in the following situations (please check box of all that apply):	
<input type="checkbox"/>	For our affiliate’s everyday business purposes – information about your creditworthiness
<input type="checkbox"/>	For our affiliates to market to you

Securities offered through SII Investments, Inc.®, member of FINRA and SIPC. Investment Advice offered through Strategic Financial Group LLC, a Registered Investment Advisor. Strategic Financial Group, LLC and SII Investments, Inc.® are separate and unrelated companies. SFG offers tax advice and accounting services. SII® does not offer tax or legal advice.